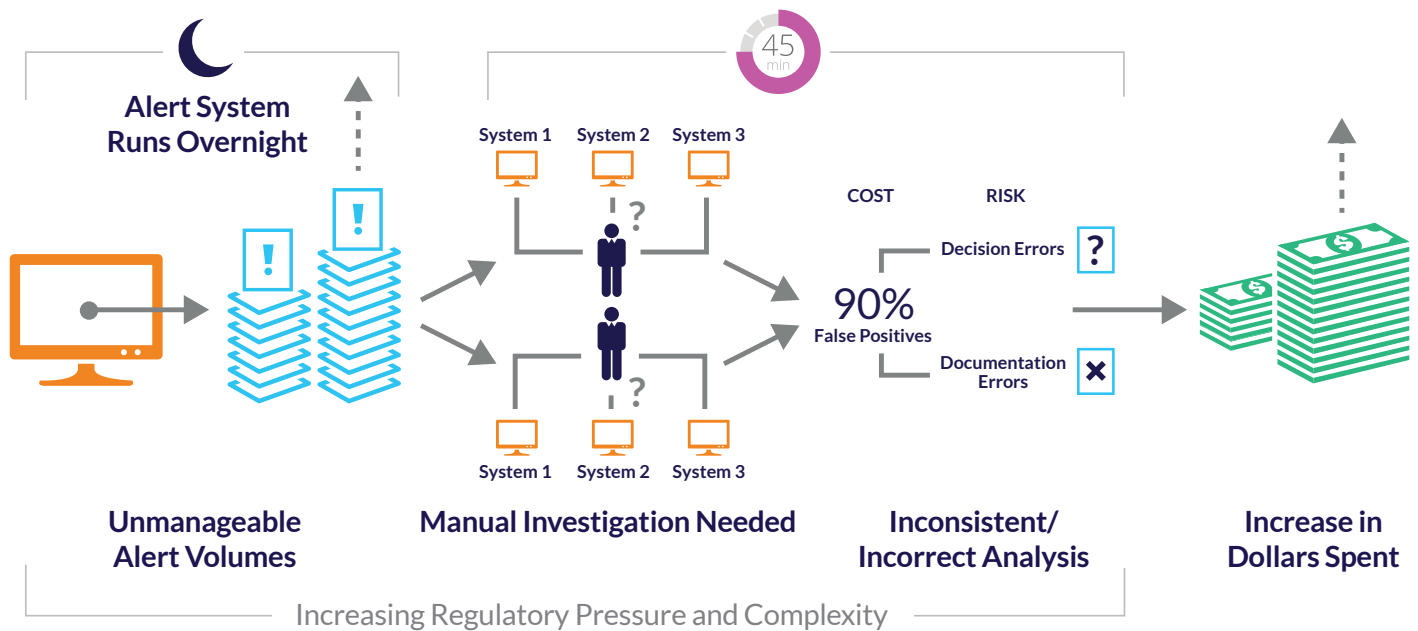


Automated Financial Crimes Investigation

Improved Investigative Efficiency Through Automation

Current Approach to Alert Disposition



Current Challenges

- Substantial & increasing alert volumes
- Increasing staff size, turnover rates & training needs
- Investigations remain significantly manual & human judgment dependent

Risks and Implications

- Failure to identify suspicious activity
- Budget consumed by manual data gathering activity
- Continuous staffing cost increases

Current Approaches to Alert Analysis Challenges

TMS optimization and validation

- New team, or costly consultants, needed to create program of governance, analysis, testing
- In near term, often creates additional alerts as new scenarios and thresholds implemented
- Repetitive project requiring constant cycles
- Slowly reduce alert volumes over many years

Case Management Systems

- Massive infrastructure, implementation and maintenance investment
- Efficiency gains helpful but do not truly solve workload challenges
- Management Information Reporting capability often weak
- Often lacks transparency expected by regulators

Hire more analysts

- Talent pool shallow in competitive market
- Salary costs are increasing
- Training & oversight challenging and costly
- Inconsistent analysis and decision making

Now Is the Time for a Truly New Approach – The Pneuron Way

Automate analysis

- Ensure consistency of analysis to every alert

Automate data gathering as well

- Reduce time to review alert by 70+%
- Reduce or redeploy staff

Expand analytical coverage

- Optimization no longer about reducing alert volumes. Alert volumes no longer an issue.
- Expand coverage of transactions

Fully interoperable

- Works with any existing TMS or CMS

Accelerate model validation

- Develop and deploy new transaction models in record time
- Conduct “what if” analysis continually with just a few people

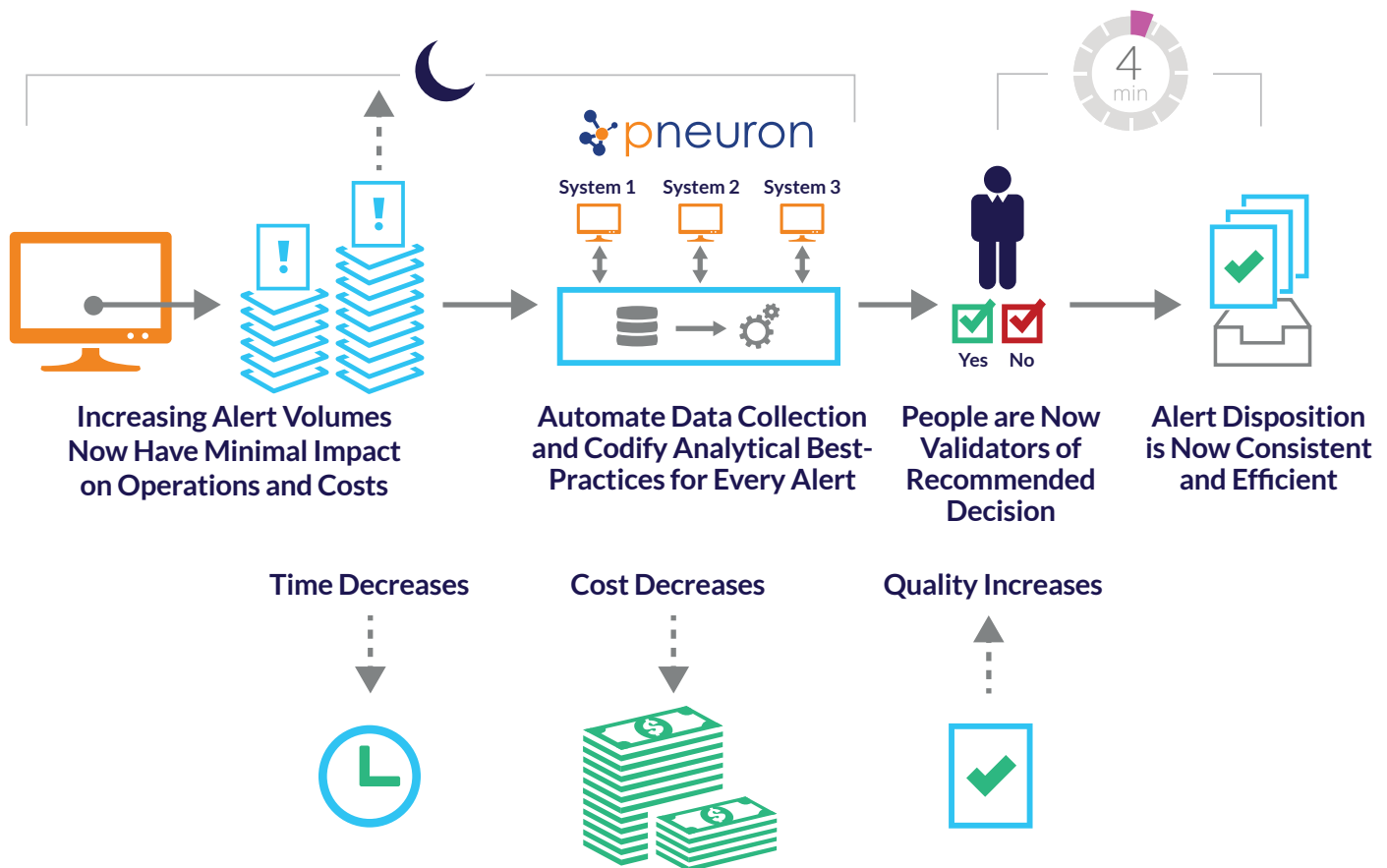
Scale

- Add new transaction, products, business and locations, to monitoring with no delay or concern about impact on staffing and compliance

Fast deployment

- Fully functional in 2 months

Imagine the Pneuron Technology-Enabled Approach to Alert Disposition



Visit our website at www.pneuron.com, follow our blog, email us at info@pneuron.com or call us at 1.877.55RAPID (1.877.557.2743).

